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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,398	06/24/2003	Xiaoyi Min	A03P1046	4855
36802	7590	09/27/2005	EXAMINER	
PACESETTER, INC. 15900 VALLEY VIEW COURT SYLMAR, CA 91392-9221			KAHELIN, MICHAEL WILLIAM	
			ART UNIT	PAPER NUMBER

3762

DATE MAILED: 09/27/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary	Application No.	Applicant(s)	
	10/603,398	MIN ET AL.	
	Examiner	Art Unit	
	Michael Kahelin	3762	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 June 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 June 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- 1. ☐ Certified copies of the priority documents have been received.
 - 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 - 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>06242003</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Information Disclosure Statement

1. The information disclosure statement (IDS) submitted on 6/24/2003 is noted.

The submission is in compliance with the provisions of 37 CFR 1.97 and 1.98.

Accordingly, the information disclosure statement is being considered by the examiner.

Specification

The following guidelines illustrate the preferred layout for the specification of a utility application. These guidelines are suggested for the applicant's use.

Arrangement of the Specification

As provided in 37 CFR 1.77(b), the specification of a utility application should include the following sections in order. Each of the lettered items should appear in upper case, without underlining or bold type, as a section heading. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) TITLE OF THE INVENTION.
- (b) CROSS-REFERENCE TO RELATED APPLICATIONS.
- (c) STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT.
- (d) THE NAMES OF THE PARTIES TO A JOINT RESEARCH AGREEMENT
- (e) INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC (See 37 CFR 1.52(e)(5) and MPEP 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text are permitted to be submitted on compact discs.) or
REFERENCE TO A "MICROFICHE APPENDIX" (See MPEP § 608.05(a). "Microfiche Appendices" were accepted by the Office until March 1, 2001.)
- (f) BACKGROUND OF THE INVENTION.
 - (1) Field of the Invention.
 - (2) Description of Related Art including information disclosed under 37 CFR 1.97 and 1.98.
- (g) BRIEF SUMMARY OF THE INVENTION.
- (h) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).
- (i) DETAILED DESCRIPTION OF THE INVENTION.
- (j) CLAIM OR CLAIMS (commencing on a separate sheet).
- (k) ABSTRACT OF THE DISCLOSURE (commencing on a separate sheet).

- (I) SEQUENCE LISTING (See MPEP § 2424 and 37 CFR 1.821-1.825. A "Sequence Listing" is required on paper if the application discloses a nucleotide or amino acid sequence as defined in 37 CFR 1.821(a) and if the required "Sequence Listing" is not submitted as an electronic document on compact disc).

It is suggested that the headings not be underlined or bolded.

2. The disclosure is objected to because of the following informalities: "hear" should read "heart" on page 19, line 21; "312" should read "314" on page 19, line 23; and "314" should read "312" on page 19.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-3, 5, 7, 10-16, and 21 are rejected under 35 U.S.C. 102(b) as being anticipated by Stadler et al. (6,381,493).
5. In regards to claim 1, Stadler et al. disclose a method comprising detecting ventricular repolarization events, determines energy values, and detects ischemia based on the energy values (col. 4, line 60). Examiner is interpreting the energy values to be potential energy (voltage) measured by the electrodes.

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6. In regards to claim 2, the maximum slope is used to determine the ischemic event (col. 23, line 28). Please note that the examiner is interpreting the "maximum slope" as the maximum of the measured slope (ST Change) and the running means.

7. In regards to claims 3 and 12, ectopic beats are discarded (col. 23, line 50). Examiner is interpreting arrhythmia as comprising ectopic beats because the beats occur at abnormal times.

8. In regards to claim 5, the repolarization peaks are used to define repolarization windows (col. 32, line 58).

9. In regards to claim 7, the depolarization peaks are used to define repolarization windows (col. 19, line 65).

10. In regards to claim 10, detecting ischemia is based on whether the ventricular repolarization is a paced or sinus beat (col. 29, line 21).

11. In regards to claim 11, the sinus repolarization event is normalized with respect to the depolarization event (col. 6, line 45), a sinus event running average is maintained, the average compared to the current beat, and the difference is compared to a sinus beat threshold (col. 23, line 10).

12. In regards to claim 13, the paced repolarization event is normalized with respect to the depolarization event (col. 6, line 45), a paced event running average is maintained, the average compared to the current beat, and the difference is compared to a paced beat threshold (col. 29, line 21).

13. In regards to claim 14, fused beats will inherently be ignored because the R-R parameter will be outside of the "expected range" (col. 23, line 28).

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14. In regards to claims 15 and 21, a warning signal is generated to indicate the onset of ischemia (col. 8, line 55).

15. In regards to claim 16, the warning signal is an internal signal applied directly to patient tissue and has a stimulation frequency different than any other warning signal (col. 12, line 51). Please note that the stimulation frequency is inherently different than other alarms because it is the only alarm ("audible alarm or a stimulation of the patient's skin").

16. Claim 17 is rejected under 35 U.S.C. 102(b) as being anticipated by Verrier et al. (5,148,812). Verrier et al. disclose a T-wave detection subsystem (308), T-wave energy integration subsystem (312), and ischemia detection subsystem (col. 6, line 60). Please note that "B" in step 310 can be a single sample (col. 6, line 42), making the energy integration a "total energy" integration.

Claim Rejections - 35 USC § 103

17. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

18. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation

under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

19. Claims 6 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Stadler et al. Stadler et al. disclose the claimed invention but does not disclose expressly a repolarization window with the limits of 150ms before and 150ms after the repolarization peak or 80ms to 480ms after the depolarization peak. It would have been an obvious matter of design choice to a person of ordinary skill in the art to modify the limits of the repolarization window as taught by Stadler et al. by providing a repolarization window with the limits of 150ms before and 150ms after the repolarization peak or 80ms to 480ms after the depolarization peak because applicant has not disclosed that these specific limits provide an advantage, is used for a particular purpose, or solves a stated problem. Furthermore, the durations of the windows are not even consistent between the two methods. One of ordinary skill in the art would have expected Applicant's invention to perform equally well with the T-wave window as taught by Stadler et al. because the window in his invention samples the entire ST segment. Therefore, it would have been an obvious matter of design choice to modify Stadler et al.'s invention by providing a repolarization window with the limits of 150ms before and 150ms after the repolarization peak or 80ms to 480ms after the depolarization peak to obtain the invention as specified in the claims.

20. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Stadler et al. in view of Goldin (2002/0151807). Stadler et al. disclose the essential features of the claimed invention except for sensing bipolar signals in the atrium, sensing unipolar signals elsewhere, and subtracting the bipolar signal from the unipolar signal to sense substantially only ventricular events (par. 0045). Goldin teaches of a method comprising sensing near-field and far-field signals and subtracting the far-field signals to reduce far-field noise. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Stadler et al.'s invention by sensing bipolar signals in the atrium, sensing unipolar signals elsewhere, and subtracting the bipolar signal from the unipolar signal to signals to reduce far-field noise and sense substantially only ventricular events.

21. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Stadler et al. in view of Verrier et al. Stadler et al. disclose the essential features of the claimed invention except for summing individual samples of the digitized T-wave signal to compute total energy. Verrier et al. teach of a method comprising summing individual samples of the digitized T-wave signal to compute total energy. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Stadler et al.'s invention by summing individual samples of the digitized T-wave signal to compute total energy.

22. Claims 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Verrier et al. in view of Stadler et al. Verrier et al. disclose the essential features of the claimed invention except for using T-wave slope; an ischemia warning system; and

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separate units to analyze paced beats and sinus beats. Stadler et al. teach of using the slope of T-waves to detect ischemia, an ischemia warning system to indicate that a patient should seek medical care, and separate units to analyze paced beats and sinus beats to reduce false-positive indications of ischemia due to the wide QRS complex and steep ST segment of paced beats (col. 29, line 12). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Verrier et al.'s invention by using the slope of T-waves to detect ischemia, an ischemia warning system to indicate that a patient should seek medical care, and separate units to analyze paced beats and sinus beats to reduce false-positive indications of ischemia.

Conclusion

23. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Other examples of ischemia detection methods are provided.

Double Patenting

24. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

25. Claims 1-21 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 6, 9-16, and 21 of copending Application No. 10/606,299 in view of copending Application No. 10/603,429 claims 7 and 19. The copending application's claims are narrower and meet the limitations of this application's broader claims. In addition, it is well known in the art to discard fusion and ectopic beats because they are not indicative of the typical pace of the heart; to provide an alarm indicative of ischemia to inform the patient to seek medical care; and provide different ischemia detection algorithms for paced and sinus beats to avoid false-positive diagnosis of ischemia. Furthermore, it is an obvious matter of design choice to use the T-wave window limits of claims 6 and 8, in light of claim 8 of Application No. 10/603,429 because applicant has not disclosed that the limits in the instant application provides an advantage, is used for a particular purpose, or solves a stated problem. One of ordinary skill in the art would have expected applicant's presently claimed invention to perform equally well with the copending application's claimed invention because the copending claim's limits also encompass a typical T-wave interval. Therefore, it would have been obvious to modify the instant invention by discarding fusion and ectopic beats because they are not indicative of the typical pace of the heart; to provide an alarm indicative of ischemia to inform the patient to seek medical care; provide different ischemia detection algorithms for paced and

sinus beats to avoid false-positive diagnosis of ischemia; and use the T-wave window limits of claims 6 and 8.

This is a provisional obviousness-type double patenting rejection.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Kahelin whose telephone number is (571)272-8688. The examiner can normally be reached on M-F, 9-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Sykes can be reached on (571)272-4955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MWK



9/23/05

GEORGE R. EVANISKO
PRIMARY EXAMINER
9/23/05